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PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. Y0999-273 (8728-298)

First Inventor or Application Identifier MAES

Title A CONVERSATIONAL PORTAL FOR PROVIDING

Express Mail Label No. EL433927439US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 70]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
4. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\*NOTE FOR ITEMS 1 & 11: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.37), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.38).

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other: ASSOCIATE POWER OF ATTORNEY

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

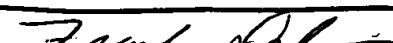
## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

|         |   |           |              |          |              |
|---------|---|-----------|--------------|----------|--------------|
| Name    | Frank V. DeRosa   |           |              |          |              |
| Address | F. Chau & Associates, LLP<br>1900 Hempstead Turnpike, Suite 501 |           |              |          |              |
| City    | East Meadow   | State     | New York     | Zip Code | 11554        |
| Country | USA   | Telephone | 516-357-0091 | Fax      | 516-357-0092 |

|                   |   |                                   |        |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | Frank V. DeRosa   | Registration No. (Attorney/Agent) | 43,584 |
| Signature         |  | Date                              | 4/7/00 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application Washington, DC 20231

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Sir:

ATTORNEY DOCKET: Y0999-273 (8728-298)  
Date: April 7, 2000  
Express Mail Label: 33927439US  
Date of Deposit: April 7, 2000

Transmitted herewith for filing is the Patent Application of:

Inventors: Stephane H. Maes

For: A CONVERSATIONAL PORTAL FOR PROVIDING CONVERSATIONAL BROWSING  
AND MULTIMEDIA BROADCAST ON DEMAND

Enclosed are: [X] 58 sheets of specification; [X] 2 sheet(s) of Abstract; [X] 10 sheet(s) of claims; [X] 6 sheet(s) of drawing(s);

[X] An assignment of the invention to International Business Machines Corporation with Recordation Form.

[X] Declaration and Power of Attorney.

[ ] A certified copy of a \_\_\_\_\_ application, from which priority under Title 35 USC §119 is claimed.

[X] Associate Power of Attorney.

The filing fee has been calculated as shown below:

| (Col. 1)                               |           | (Col. 2)  | OTHER THAN A<br>SMALL ENTITY |            |
|--|-----------|-----------|------------------------------|------------|
| FOR:                                   | NO. FILED | NO. EXTRA | RATE                         | FEE        |
| BASIC FEE                              |           |           |                              | \$690.00   |
| TOTAL CLAIMS                           | 38 -20 =  | 18        | X \$18 =                     | 324.00     |
| INDEP CLAIMS                           | 3 -3 =    | 0         | X \$78 =                     | 0          |
| MULTIPLE DEPENDENT CLAIMS<br>PRESENTED |           |           | + 260 =                      |            |
|  |           |           | TOTAL                        | \$1,014.00 |

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

[ ] A check in the amount of \$\_\_\_\_\_ to cover the [ ] filing fee(s), [ ] recording fee is enclosed.

[X] Please charge my Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$1,014.00.

[X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510/IBM (Yorktown Heights). A duplicate copy of this sheet is enclosed.

[X] Any additional filing fees required under 37 CFR 1.16.

[X] Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By:

Frank V. DeRosa  
Frank V. DeRosa  
Registration No. 43,584

Please address all  
correspondence to:

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Attorney for:

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, NY 10598

**CERTIFICATION UNDER 37 C.F.R. §1.10**

I hereby certify that this Application transmittal and documents referred to as enclosed are being deposited with the United States Postal Service on this date April 7, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL433927439US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Frank V. DeRosa  
Frank V. DeRosa

# FEE TRANSMITTAL

## for FY 2000

*Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.*

**TOTAL AMOUNT OF PAYMENT** (\$ 1,054.00

### Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   |                      |
| Filing Date          | April 7, 2000        |
| First Named Inventor | Stephane H. Maes     |
| Examiner Name        |                      |
| Group / Art Unit     |                      |
| Attorney Docket No.  | Y0999-273 (8728-298) |

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0510/IBM

Deposit Account Name IBM/Yorktown Heights

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Code         | Fee (\$) | Code         | Fee (\$) |                        |          |
| 101          | 690      | 201          | 345      | Utility filing fee     | 690      |
| 106          | 310      | 206          | 155      | Design filing fee      |          |
| 107          | 480      | 207          | 240      | Plant filing fee       |          |
| 108          | 690      | 208          | 345      | Reissue filing fee     |          |
| 114          | 150      | 214          | 75       | Provisional filing fee |          |

**SUBTOTAL (1)** (\$ 690.00

#### 2. EXTRA CLAIM FEES

| Total Claims |         | Extra Claims |   | Fee from below |     | Fee Paid |
|--------------|---------|--------------|---|----------------|-----|----------|
| 38           | -20** = | 18           | X | 18             | =   | 324      |
| 3            | -3** =  | 0            | X | 78             | =   | 0        |
|              |         |              |   |                | 260 |          |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Code         | Fee (\$) | Code         | Fee (\$) |  |          |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                     |          |
| 102          | 78       | 202          | 39       | Independent claims in excess of 3                          |          |
| 104          | 260      | 204          | 130      | Multiple dependent claim, if not paid                      |          |
| 109          | 78       | 209          | 39       | ** Reissue independent claims over original patent         |          |
| 110          | 18       | 210          | 9        | ** Reissue claims in excess of 20 and over original patent |          |

**SUBTOTAL (2)** (\$ 324.00

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity              |          | Small Entity |          | Fee Description  | Fee Paid |
|---------------------------|----------|--------------|----------|--|----------|
| Code                      | Fee (\$) | Code         | Fee (\$) |  |          |
| 105                       | 130      | 205          | 65       | Surcharge - late filing fee or oath  |          |
| 127                       | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                       | 130      | 139          | 130      | Non-English specification  |          |
| 147                       | 2,520    | 147          | 2,520    | For filing a request for reexamination                                     |          |
| 112                       | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                       | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                       | 110      | 215          | 55       | Extension for reply within first month                                     |          |
| 116                       | 380      | 216          | 190      | Extension for reply within second month                                    |          |
| 117                       | 870      | 217          | 435      | Extension for reply within third month                                     |          |
| 118                       | 1,360    | 218          | 680      | Extension for reply within fourth month                                    |          |
| 128                       | 1,850    | 228          | 925      | Extension for reply within fifth month                                     |          |
| 119                       | 300      | 219          | 150      | Notice of Appeal   |          |
| 120                       | 300      | 220          | 150      | Filing a brief in support of an appeal                                     |          |
| 121                       | 260      | 221          | 130      | Request for oral hearing   |          |
| 138                       | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                       | 110      | 240          | 55       | Petition to revive - unavoidable   |          |
| 141                       | 1,210    | 241          | 605      | Petition to revive - unintentional   |          |
| 142                       | 1,210    | 242          | 605      | Utility issue fee (or reissue)   |          |
| 143                       | 430      | 243          | 215      | Design issue fee   |          |
| 144                       | 580      | 244          | 290      | Plant issue fee  |          |
| 122                       | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123                       | 50       | 123          | 50       | Petitions related to provisional applications                              |          |
| 126                       | 240      | 126          | 240      | Submission of Information Disclosure Stmt                                  |          |
| 581                       | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                       | 690      | 246          | 345      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                       | 690      | 249          | 345      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| Other fee (specify) _____ |          |              |          |  |          |
| Other fee (specify) _____ |          |              |          |  |          |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ 40.00

### SUBMITTED BY

Name (Print/Type) Frank V. DeRosa

Signature

Registration No. (Attorney/Agent) 43,584

### Complete (if applicable)

Telephone (516) 357-0091

Date 4/7/00

### WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.